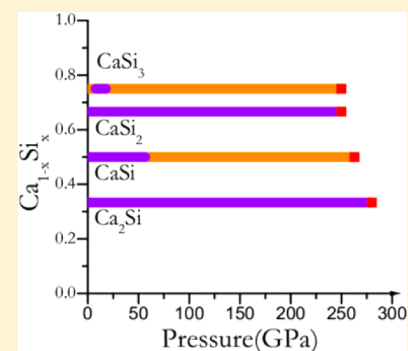


## Novel Si Networks in the Ca/Si Phase Diagram under Pressure

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## Supporting Information

**ABSTRACT:** The rich Ca/Si binary phase diagram is explored here theoretically and over a range of pressures, through four stoichiometries: Ca<sub>2</sub>Si, CaSi, CaSi<sub>2</sub>, and CaSi<sub>3</sub>. The calculations are calibrated by recovering the known  $P = 1$  atm structures (even as they suggest metastable alternatives for some) and by predicting correctly the range of pressures at which CaSi<sub>3</sub>, which has a positive heat of formation at  $P = 1$  atm, can be synthesized. Ca<sub>2</sub>Si, in accord with its Zintl compound nature, features isolated Si<sup>4-</sup> ions then chains until decomposition. CaSi, which begins as a Zintl phase (albeit metallic) chain structure evolves to rings and zeolitic-channel structures, while CaSi<sub>2</sub> explores a variety of triply connected Si polytypes to Laves phases with increasing pressure. CaSi<sub>3</sub> has a calculated narrow range of stability of 8–18 GPa. Interestingly, all the phases studied are calculated to decompose to the elements at  $P \sim 250$  GPa. This unusual behavior is traced to the special compressibility of elemental Ca and the backward charge transfer from Si to Ca. All the phases studied are metallic, except that Ca<sub>2</sub>Si is a semiconductor at 1 atm.

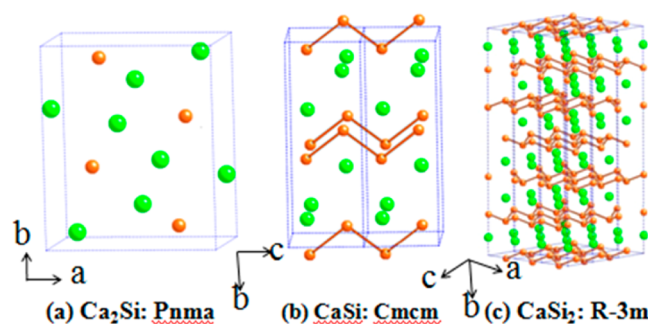


## 1. INTRODUCTION

On the way to a study of the Ca/Si/C phase diagram, we found that we needed a thorough understanding of the Ca/Si binary system. Compounds of calcium and silicon are hardly new; they have been the object of extensive investigation since 1863. At  $P = 1$  atm, a number of different stable compositions are known, including Ca<sub>2</sub>Si, Ca<sub>3</sub>Si<sub>3</sub>, CaSi, Ca<sub>3</sub>Si<sub>4</sub>, Ca<sub>14</sub>Si<sub>19</sub>, and CaSi<sub>2</sub>. At 10–15 GPa and in the temperature range 900–1500 K, CaSi<sub>3</sub><sup>1</sup> and CaSi<sub>6</sub><sup>2</sup> have also been synthesized; CaSi<sub>3</sub> can be returned to  $P = 1$  atm, but CaSi<sub>6</sub> cannot. No evidence of more calcium-rich compounds than Ca<sub>2</sub>Si has been obtained; indeed, in an ionic formulation Ca<sub>2</sub>Si is the natural limit, seen as (Ca<sup>2+</sup>)<sub>2</sub>Si<sup>4-</sup>. On the Si-rich side there appears to be no such constraint on the stoichiometries possible. In this work we therefore explore theoretically a representative portion of the Ca/Si phase diagram, concentrating on Ca<sub>2</sub>Si, CaSi, CaSi<sub>2</sub>, and CaSi<sub>3</sub> as representative examples.

The first reliable crystallographic data for Ca<sub>2</sub>Si were those of Eckerlin and Woller,<sup>3</sup> who reported a PbCl<sub>2</sub>-type cell (Figure 1a). This was later confirmed by Manfrinetti but given a revised name, *anti*-PbCl<sub>2</sub>.<sup>4</sup> In this structure, Si occurs as isolated Si<sup>4-</sup> ions. The 1:1 composition, CaSi, crystallizes in the orthorhombic CrB-type structure, space group *Cmcm*.<sup>5,6</sup> In CaSi (Figure 1b), the Si atoms form buckled Si<sup>2-</sup> chains.

At 1 atm and room temperature CaSi<sub>2</sub> adopts a rhombohedral structure<sup>7</sup> (space group *R-3m*, usually labeled *TR6*), in which corrugated Si<sup>-</sup> hexagonal layers alternate with Ca hexagonal layers along the [001] direction, and both layers stack in an *AA'BB'CC'* sequence (Figure 1c). Rhombohedral



**Figure 1.** Known structures for Ca<sub>2</sub>Si (a), CaSi (b), and CaSi<sub>2</sub> (c) at  $P = 1$  atm. Here (and throughout the paper), Ca's are depicted as large green spheres and Si's as smaller orange ones.

CaSi<sub>2</sub> is a semimetal, but not a superconductor, down to temperatures as low as 30 mK.<sup>8</sup>

The observed  $P = 1$  atm structures of Ca<sub>2</sub>Si, CaSi, and CaSi<sub>2</sub> can be understood on the basis of the simple and useful Zintl idea: transfer electrons from the electropositive element, then form covalent bonds, if possible, in the anionic framework.<sup>9</sup> Thus, in Ca<sub>2</sub>Si, Si<sup>4-</sup> cannot form Si–Si bonds; the Si ions remain isolated. In CaSi, the Si<sup>2-</sup> ion can be viewed as a diradical, and the –Si–Si–Si– chains observed are one resolution of the bonding situation. In CaSi<sub>2</sub>, each Si is

Received: August 2, 2014

Revised: October 3, 2014

Published: October 6, 2014

formally  $\text{Si}^-$ , capable of forming three bonds. As we will see, there are a number of ways to satisfy this structural requirement; the observed structure is one of these. The  $\text{C}_2^{2-}$  units in  $\text{CaC}_2$  indicate another way, forming triple bonds between two carbons,  $\text{Ca}^{2+}(\text{C}_2)^{2-}$ . We will eventually see other possibilities.

Pressure gives us another variable, one that enriches the structural chemistry of compounds. The discussion to follow will give details, but briefly what we know is the following:  $\text{CaSi}_2$  transforms to a tetragonal phase at 5.76 GPa and 783 K, one showing superconductivity, with  $T_c$  rising from 1.58 K at 1 atm up to 6.5 K at 9 GPa. This phase is a high- $T$ , high- $P$  phase. As the pressure is increased at room temperature, two other phases follow, whose  $T_c$  values reach a still higher value, up to 14 K. No experimental information appears to be available on the conductivity of compressed  $\text{Ca}_2\text{Si}$  and  $\text{CaSi}$ .  $\text{CaSi}$  is metallic at 1 atm, a point to which we will return.

In this work, we examine the structural possibilities for  $\text{Ca}_2\text{Si}$ ,  $\text{CaSi}$ ,  $\text{CaSi}_2$ , and  $\text{CaSi}_3$  over the pressure range of 1 atm to 400 GPa. We predict upon compression a number of interesting stable or metastable Si networks in the four calcium silicides studied. Our calculations indicate that Si atoms will form  $\text{Si}_n$  linear chains in  $\text{Ca}_2\text{Si}$ , flat quadrangular and hexagonal Si layers in  $\text{CaSi}$ , and triply coordinated Si polytypes and Laves phases in  $\text{CaSi}_2$  at high pressure. We will see and explain an instability of all phases to the elements at  $\sim 250$  GPa.

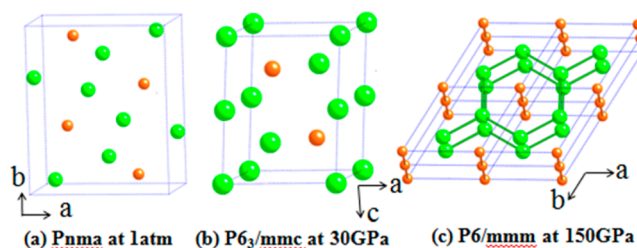
## 2. COMPUTATIONAL METHODS

We searched for  $\text{Ca}_2\text{Si}$ ,  $\text{CaSi}$ ,  $\text{CaSi}_2$ , and  $\text{CaSi}_3$  ground-state and high-pressure structures using Crystal structure AnaLYsis by Particle Swarm Optimization methodology, as implemented in the CALYPSO code.<sup>10,11</sup> We performed structure searches with system sizes containing up to eight formula units (f.u.) per simulation cell. Each generation contains 30 structures, 60% of which are generated by particle swarm optimization and the others by random choice. We followed 30–50 generations (depending on the size of the system) to achieve the converged structure.

The underlying *ab initio* structural relaxations were carried out with density functional theory using the Perdew–Burke–Ernzerhof (PBE)<sup>12</sup> exchange–correlation functional as implemented in the VASP code.<sup>13</sup> The frozen-core all-electron projector-augmented wave method was adopted,<sup>14</sup> with  $3s^23p^64s^2$  (cutoff radius  $2.3a_0$ ) and  $3s^23p^2$  (cutoff radius  $1.9a_0$ ) treated as valence electrons for Ca and Si, respectively. The pseudopotentials have been tested by reproducing the Fermi surface for pure fcc-Ca<sup>15</sup> and the band gap for diamond-Si at 1 atm, respectively. Thus, the experimental band gap for diamond-Si is 1.17 eV; our calculated value is 0.6 eV with the PBE functional, improving to 1.0 eV with an HSE hybrid functional. An energy cutoff of 600 eV and appropriate Monkhorst–Pack<sup>16</sup> (MP)  $k$  meshes were chosen to ensure that enthalpy calculations were well converged to better than 1 meV/formula unit (f.u.). The phonon calculations were carried out by using a supercell<sup>17</sup> approach within the PHONOPY code.<sup>18</sup>

## 3. RESULTS AND DISCUSSION

**3.1.  $\text{Ca}_2\text{Si}$ : Isolated Ions and Chains.** The experimentally known *anti*- $\text{PbCl}_2$  (*Pnma*) structure (Figure 2a) for  $\text{Ca}_2\text{Si}$  at 1 atm is successfully reproduced by our crystal structural searches. Our calculated lattice constants at 1 atm are also



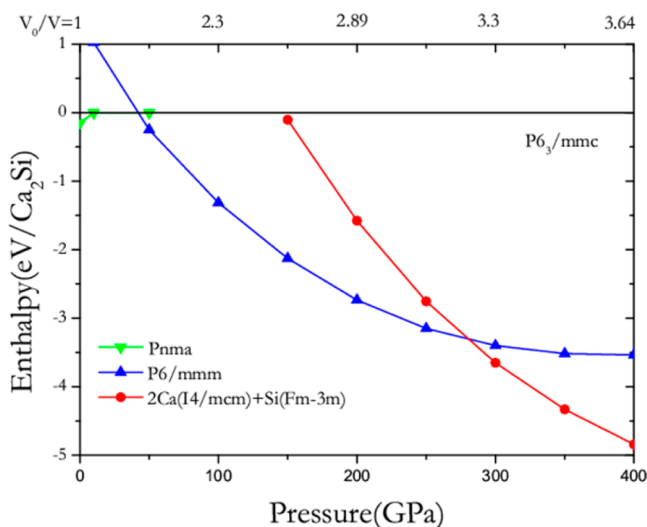
**Figure 2.** Various predicted ground-state static structures for  $\text{Ca}_2\text{Si}$ . The lines connecting the Ca ions in (c) are just a guide to the eye, no bonding is implied.

consistent with the experimental data (see Table S1 in the Supporting Information (SI) to this paper), which provide a check on the reliability of the calculations that follow. In this phase silicon exists as a  $\text{Si}^{4-}$  ion, as we discussed above: the closest Si–Si separation is 4.62 Å, much longer than a 2.35 Å Si–Si bond length, as in diamond-type silicon at the same pressure.

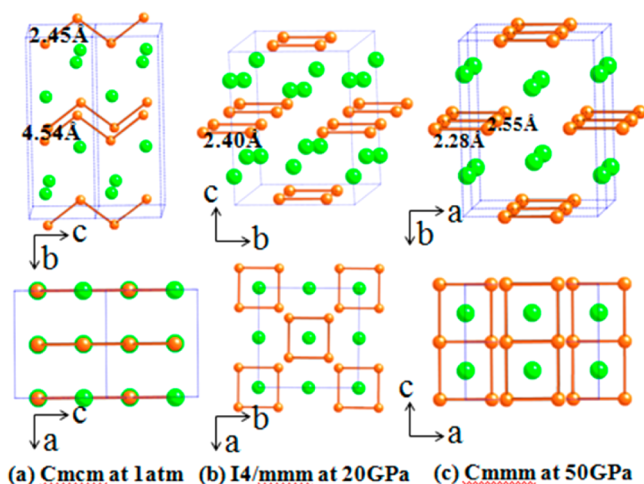
As we increase the pressure, another structure acquires a lower enthalpy, a hexagonal close-packed  $P6_3/mmc$  phase (Figure 2b). The Si atoms remain isolated and separated from adjacent Si atoms by 3.97 Å at 30 GPa and 3.79 Å at 50 GPa. This structure is a derivative of the  $\text{AlB}_2$  structure, with one Ca atom and a Si atom together forming graphitic layers (at  $z = 0.25$  and  $0.75$ ) that sandwich the other kind of Ca atoms (at  $z = 0$  and  $0.5$ ). As the pressure is increased, an *anti*- $\text{AlB}_2$  structure,  $P6/mmm$  (Figure 2c), becomes stable beyond 50 GPa. In this structure, the graphitic layers are exclusively formed by Ca atoms. The Si atoms in different layers form linear Si chains, where the nearest-neighbor Si–Si separations at 150 GPa, i.e., the vertical separations in Figure 2c, are 2.27 Å, just a little longer than the 1.96 Å in *Fd-3m* (diamond-type) Si at the same pressure.<sup>19</sup> Figure S1 in the SI shows the calculated Si–Si separations in diamond-type Si over a range of pressures; they serve as a calibration on the Si–Si separations obtained throughout our paper.

Starting with these candidate structures, we reoptimized them over a range of pressures, obtaining the enthalpy curves of Figure 3. The low-pressure predicted  $P6_3/mmc$  structure is the reference. At 1 atm, the *anti*- $\text{PbCl}_2$  (*Pnma*) structure is the most stable one; it transforms to the  $P6_3/mmc$  structure when a relatively low pressure of  $\sim 10$  GPa is applied. Then, over a very wide pressure range between 40 and 280 GPa, the *anti*- $\text{AlB}_2$   $P6/mmm$  phase is preferred. We also calculated the enthalpy of the elements, thereby providing an estimate of the heat of formation of  $\text{Ca}_2\text{Si}$ . The SI shows several possible decompositions to the elements; the preferred separated element phases change with pressure. Figure 3 shows only a curve for decomposition to the high  $P$  forms of Ca and Si. Clearly, above 280 GPa  $\text{Ca}_2\text{Si}$  becomes unstable with respect to disproportionation into separated elements. This is unusual, and it will turn out to be a consistent feature of the behavior of Ca/Si phases under pressure.

**3.2.  $\text{CaSi}$ : Chains, Rings, and Zeolitic Channel Structures.** For static ground-state  $\text{CaSi}$ , at 1 atm, we find that the CrB-type *Cmcm* structure (Figure 4a) is the most stable enthalpically, which is in good agreement with the experimental findings for this stoichiometry.<sup>5,6</sup> Our calculated lattice constants for *Cmcm*- $\text{CaSi}$  at 1 atm (see SI) are again consistent with the experimental data. However, at 20 GPa, a



**Figure 3.** Ground-state static enthalpy curves per formula unit as a function of pressure for  $\text{Ca}_2\text{Si}$ , with respect to the predicted  $P6_3/mmc$  structure. The decomposition enthalpies for  $\text{Ca}_2\text{Si}$  to  $\text{Ca} + \text{Si}$  are also presented. We considered the  $I4/mcm$  structure for separated pure solid  $\text{Ca}$  and  $Fm\bar{3}m$  for  $\text{Si}$ . The relative compression ( $V_0/V$ ) is again indicated at the top.



**Figure 4.** Various predicted ground-state static structures for  $\text{CaSi}$ . The lower panels show projections along  $b$ ,  $c$ , and  $b$ , respectively, of the corresponding structures in the upper panels.

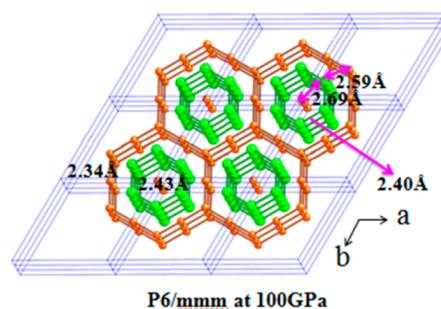
new tetragonal  $I4/mmm$  structure (Figure 4b) is preferred; it consists of layers of  $\text{Si}_4$  squares alternating with  $\text{Ca}$  layers.

The Zintl picture of  $\text{Si}$  in  $\text{CaSi}$  as  $\text{Si}^{2-}$  provides the opportunity for each  $\text{Si}$  to form two bonds. This may be satisfied by infinite chain formation, as in the  $\text{Cmcm}$  structure, or by forming rings. Thus, one could imagine alternatives with the  $\text{Si}^{2-}$  centers condensing to give dimers ( $\text{Si}=\text{Si}$ )<sup>4-</sup> or cyclic trimers, tetramers, pentamers, etc. The  $I4/mmm$  structure, with its  $\text{Si}_4$  squares, is one such choice. It is stable in the region 12–50 GPa. Note the  $\text{Si}$ – $\text{Si}$  separation in the  $I4/mmm$  structure (2.40 Å) is not far from that expected for a calculated  $\text{Si}$ – $\text{Si}$  single bond (2.245 Å at 20 GPa) in pure solid diamond-type  $\text{Si}$  (Figure S1, SI). Zintl phases, in a sense being an extension of the kind of bonding one finds in molecules obeying the octet rule, would be expected to be semiconducting.  $\text{CaSi}$  is not. An excellent recent study of  $\text{CaSi}$  by Kurylyshyn et al. explains the

metallic character of  $\text{CaSi}$  and shows the limits of the Zintl model in describing this solid.<sup>20</sup>

At 50 GPa, the adjacent  $\text{Si}_4$  squares in the same layer begin to bond to each other and form flat ladders, so the  $I4/mmm$  structure transforms to  $\text{Cmmm}$  (Figure 4c). The projections along  $b$ ,  $c$ , and  $b$  directions, respectively, make this evolution clear.

A very different and interesting metastable hexagonal  $P6/mmm$  structure evolves at elevated pressures (Figure 5). In this



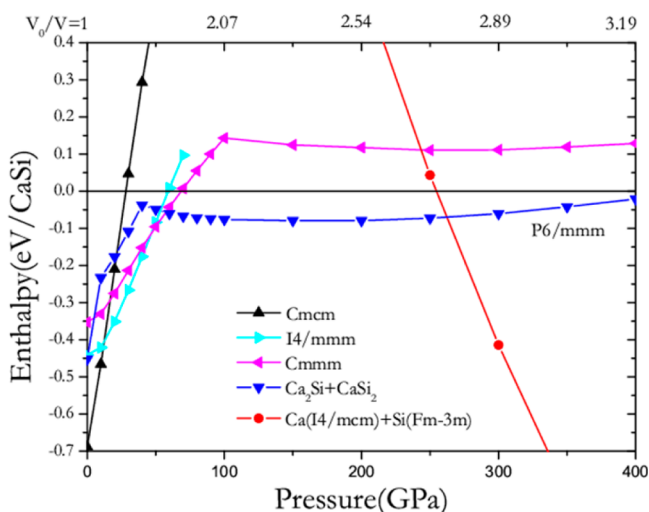
**Figure 5.** Predicted ground-state static structure for  $\text{CaSi}$  at 100 GPa. The symmetry is  $P6/mmm$ .

unusual phase, 12  $\text{Si}$  atoms in each plane form a large hexagon, and each hexagon is bonded to another six hexagons. Judging from the  $\text{Si}$ – $\text{Si}$  separations (2.43 Å), the  $\text{Si}$  atoms in different layers are also bonded to each other, forming clear channels. For comparison, the  $\text{Si}$ – $\text{Si}$  separation in diamond  $\text{Si}$  at 100 GPa is 2.04 Å (Figure S1, SI). Every six  $\text{Ca}$  atoms above and below the  $\text{Si}$  layers also form small hexagons ( $\text{Ca}$ – $\text{Ca}$ , 2.40 Å), which are also bonded to each other in different layers ( $\text{Ca}$ – $\text{Ca}$ , 2.43 Å) but not bonded within the same layer (shortest  $\text{Ca}$ – $\text{Ca}$ , 3.96 Å). For comparison the  $\text{Ca}$ – $\text{Ca}$  separation in metallic  $\text{Ca}$  ( $\text{Cmca}$ ) is 3.38 Å at 1 atm and 2.29 Å at 100 GPa. Although the small  $\text{Ca}$  hexagons and the large  $\text{Si}$  hexagons are not in the same layer, they share the same center, which, remarkably, is occupied by a linear  $\text{Si}$  chain, with  $\text{Si}$ – $\text{Si}$  separations of 2.43 Å. A zeolitic-type framework thus evolves, featuring  $\text{Si}$  lines inside  $\text{Ca}$  tubes, inside channelled  $\text{Si}$  networks. In this hexagonal structure,  $\text{Si}$  atoms are 2-, 4-, and 5-fold coordinated.

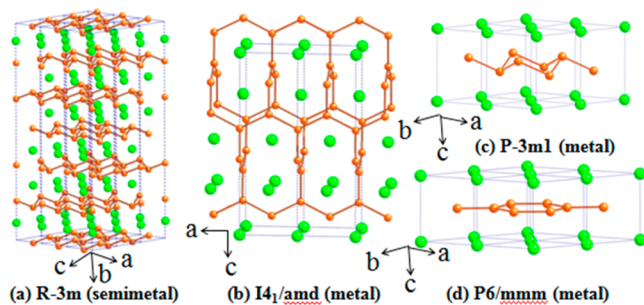
It should be emphasized that this interesting structure is not at any pressure the most stable  $\text{CaSi}$  phase. It is nevertheless dynamically stable, which is why we mention it.

Phases evolve rapidly in this system, as the pressure rises,  $\text{Cmcm} \rightarrow I4/mmm \rightarrow \text{Cmmm}$ . Figure 6, the calculated ground static enthalpy per  $\text{CaSi}$ , shows that overall the range of stability of  $\text{CaSi}$  is quite limited. In the pressure range of 57–263 GPa,  $\text{CaSi}$  is unstable with respect to disproportionation to  $\text{Ca}_2\text{Si} + \text{CaSi}_2$ . Then, above 263 GPa,  $\text{CaSi}$  becomes unstable to decomposition to its constituent elements.

**3.3.  $\text{CaSi}_2$ .** Experimentally,  $\text{CaSi}_2$  at  $P = 1$  atm and  $\sim 300$  K is known in two closely related  $R\bar{3}m$  structures ( $hR3$  and  $hR6$ ; one is shown in Figure 7a). These structures are polytypes, differing only by a registry shift of the  $\text{Si}$  hexagonal layers. At 5.7 GPa and 783 K,<sup>21</sup>  $\text{CaSi}_2$  transforms to a tetragonal ( $\alpha$ - $\text{ThSi}_2$ -type) phase (space group  $I4_1/amd$ , Figure 7b) in which the  $\text{Si}$  atoms are connected by a three-dimensional network of  $\text{sp}^2$  bonds. Tetragonal  $I4_1/amd$ - $\text{CaSi}_2$  can be quenched to 1 atm and room temperature and is a superconductor with  $T_c = 1.58$  K.<sup>22</sup> Moreover,  $T_c$  rises to 6.5 K when one compresses tetragonal  $\text{CaSi}_2$  to 9 GPa.



**Figure 6.** Ground-state static enthalpy curves for CaSi as a function of pressure, with respect to the reference enthalpy for the predicted  $P6/mmm$  structure. The decomposition enthalpies for CaSi to Ca + Si are also presented. We considered the  $I4/mcm$  structure for separated pure solid Ca and  $Fm\bar{3}m$  for Si. The relative compression ( $V_0/V$ ) is also indicated at the top of the figure.

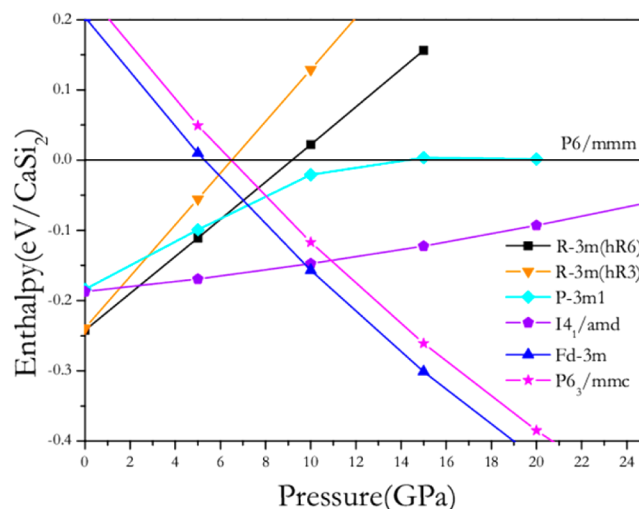


**Figure 7.** Various known ground-state static structures for CaSi<sub>2</sub> at low pressure.

The CaSi<sub>2</sub> system shows remarkable structural variety. At 7.6 GPa and room temperature, CaSi<sub>2</sub> is stable in still another trigonal structure (space group  $P\bar{3}m1$ , Figure 7c) in which corrugated Si hexagonal layers also alternate with Ca hexagonal layers along the  $[001]$  direction, but both layers (SiSi and CaCa) stack in a simple AA sequence.<sup>21,23</sup> The  $P\bar{3}m1$  structure is still another polytype of  $R\bar{3}m$ , the  $P = 1$  atm structure. Finally, at 16 GPa and room temperature, a new phase is found experimentally. It can also be optimized in  $P\bar{3}m1$  symmetry but with a significant increase of the Si  $z$  (in  $(0,0,z)$ ) parameter from  $\sim 0.4$  to  $\sim 0.44$ , which leads to a strong decrease of the Si layer buckling (the largest vertical distance from the bottom to the top atom in the same buckled layer) from 0.7 to 0.4 Å. This phase can also be described as close to a higher symmetry  $\text{EuGe}_2/\text{AlB}_2$ -type structure ( $P6/mmm$ , Figure 7d), and for which  $z \sim 0.5$ .<sup>24</sup> However, this  $\text{EuGe}_2/\text{AlB}_2$ -type structure is not quenchable.

Note that all of these structures contain triply connected Si networks, as the Zintl ideas would imply for Si<sup>-</sup>. Interestingly, the high-pressure  $P\bar{3}m1$  and  $\text{AlB}_2$ -type structures are both superconductors; the  $T_c$  measured can reach as high as 14 K,<sup>24</sup> which is the highest  $T_c$  reported so far for a disilicide, almost 1 order of magnitude higher than those found in other silicides, and comparable to the  $T_c$ 's of the A-15 compounds.<sup>24</sup>

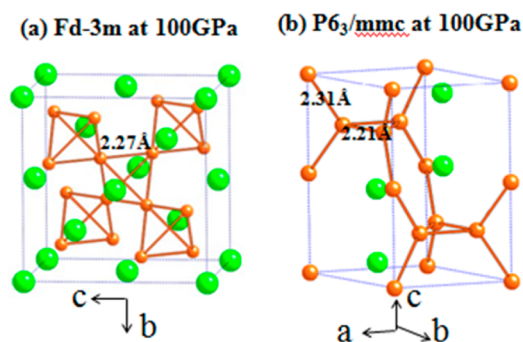
Our  $P = 1$  atm, ground-state static calculations reproduced the two  $R\bar{3}m$  structures with the lowest enthalpy (Figure 8).



**Figure 8.** Ground-state static enthalpy curves per formula unit for CaSi<sub>2</sub> at relatively low pressures, with respect to that for the  $P6/mmm$  structure.

Less than 50 meV/CaSi<sub>2</sub> above these, we predicted the  $I4_1/amd$  structure found experimentally at slightly higher pressure and elevated  $T$  and the  $P\bar{3}m1$  structure found experimentally at higher pressure and room temperature. We thus see at least four structures available for CaSi<sub>2</sub> at  $P = 1$  atm, all within 0.06 eV/formula unit of each other. The zero-point energies calculated in the harmonic approximation for the  $R\bar{3}m$  structure are 0.132 eV/CaSi<sub>2</sub> and are very similar for the other three structures (e.g., 0.127 eV/CaSi<sub>2</sub> for the  $P\bar{3}m1$  phase). So they will not change the rough stability order of these phases.

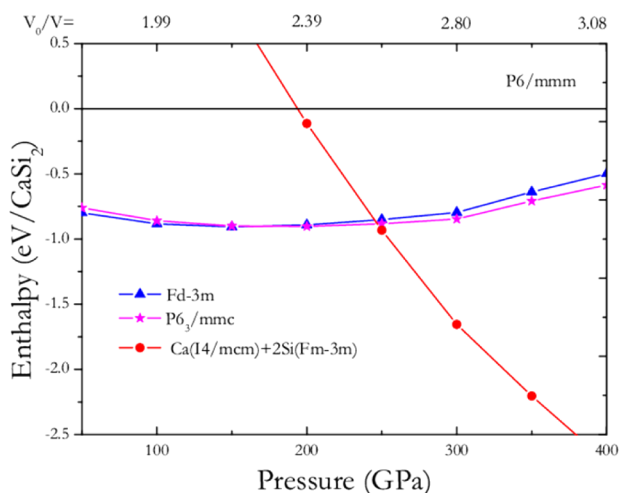
The  $I4_1/amd$  structure becomes most stable between 3 and 10 GPa. The most stable ground-state structure we find above 10 GPa is quite different from the four structures mentioned; it is a cubic Laves phase structure ( $\text{MgCu}_2$  type) and also a face-centered-cubic (fcc)  $\text{BaAl}_2$ -type structure, space group  $Fd\bar{3}m$  (Figure 9a). The  $Fd\bar{3}m$  structure remains most stable at 50, 100, and 200 GPa, respectively. This is a very interesting metallic structure; in it we see perfect tetrahedra (nearest neighbor Si–Si separations of 2.27 Å) at 100 GPa (diamond-type Si at this pressure would have a Si–Si separation of 2.04 Å see Figure S1, SI), and each tetrahedron shares a vertex with



**Figure 9.** Predicted ground-state static  $Fd\bar{3}m$  (a) and  $P3/mmc$  (b) structures for CaSi<sub>2</sub> at high pressure.

four adjacent tetrahedra.<sup>25–27</sup> The centers of tetrahedra occupy half of the tetrahedral (*T*) holes of the fcc structure formed by Ca atoms, while the other Ca atoms occupy the other half *T* sites. Each Si atom is then equidistant from six other Si atoms.

At all pressures, another hexagonal Laves phase ( $\text{MgZn}_2$  type:  $P6_3/mmc$ , Figure 9b) is remarkably close in enthalpy to the cubic  $Fd-3m$  one (see Figure 8 for  $P < 25$  GPa and Figure 10 for higher pressures). In this structure, each Si atom has



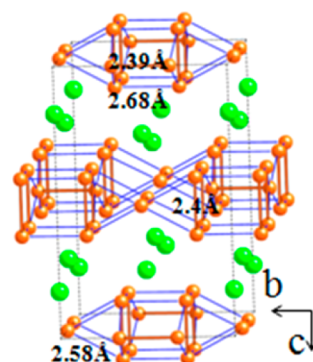
**Figure 10.** Ground-state static enthalpy curves per formula unit as a function of pressure for  $\text{CaSi}_2$ , with respect to that for the  $P6_3/mmc$  structure. Only the high-pressure region is shown; for low  $P$  see Figure 9. The decomposition enthalpies for  $\text{CaSi}_2$  to  $\text{Ca} + \text{Si}$  are also presented. We considered  $I4/mcm$  for separated pure solid  $\text{Ca}$  and  $Fm3m$  for  $\text{Si}$ . The relative compression ( $V_0/V$ ) is also shown.

coordination number 6, and the Si–Si separations—2.21 and 2.31 Å at 100 GPa—are very close to those in  $Fd-3m$   $\text{CaSi}_2$  but a little longer than the separation in pure diamond-type  $\text{Si}$  at the same pressure (Figure S1, SI). The very similar Si–Si separations and the Laves phases formed in both  $Fd-3m$  and  $P6_3/mmc$  structures for  $\text{CaSi}_2$  are likely responsible for their very similar stability over a very wide pressure range. Both of the two Laves phases are dynamically stable at 1 atm, which contrasts to the recent report on the high-pressure synthesis of  $\text{CaSi}_{2-x}\text{Al}_x$  ( $\text{CuAl}_2$  type). These authors were not able to synthesize the phase for  $x = 2$ , and the highest Si content was around  $x = 1.5$ .<sup>28</sup>

Near 250 GPa, several new structures emerge as metastable, as shown in the SI. However, it is also at this approximate pressure that  $\text{CaSi}_2$ , like  $\text{Ca}_2\text{Si}$  and  $\text{CaSi}$ , becomes unstable relative to the separated elements.

### 3.4. $\text{CaSi}_3$ : Stable Only in a Narrow Pressure Range.

$\text{CaSi}_3$  has been synthesized from  $\text{CaSi}_2$  and  $\text{Si}$  at pressures between 12 and 15 GPa and temperatures from 900 to 1400 K and then returned to  $P = 1$  atm.<sup>1</sup> One way to describe the crystal structure,  $I4/mmm$ , is to see in it two  $\text{Si}_2$  pairs (Si–Si separations of 2.39 and 2.40 Å see Figure 11), connected by clearly bonding interactions (Si–Si separations of 2.58 and 2.68 Å). Our ground-state static calculations at 1 atm reproduced this  $I4/mmm$  structure as metastable. We also found three metastable phases at slightly lower enthalpy at  $P = 1$  atm; their structures are shown in the SI. These contain alternate puckered graphitic Si and diamond-like layers with Ca in between; the main difference between them is the number of layers. One way to think about these  $\text{CaSi}_3$  networks is to

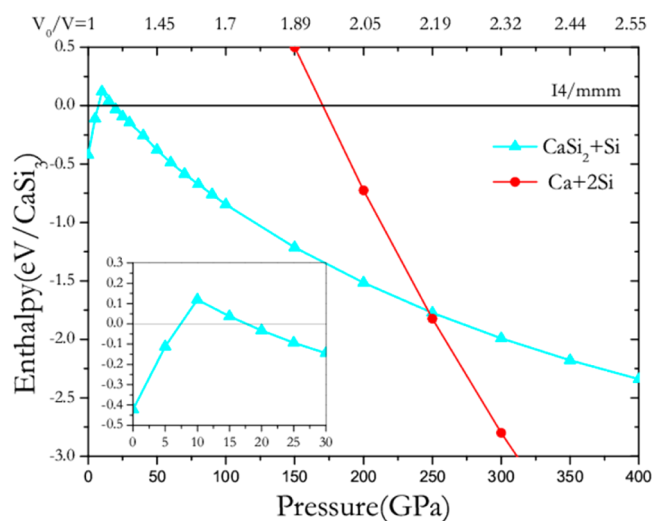


**Figure 11.** Ground-state static structure for  $\text{CaSi}_3$  at 1 atm.

imagine Ca intercalated in a diamond Si net and then transferring two electrons per three Si to the net. These would enter Si–Si antibonding orbitals, breaking some bonds in the diamond Si structure.

At 50 GPa and at higher pressures we found a number of fascinating metastable structures featuring Kagome nets and a  $\text{AuCu}_3$  structure. However, at all pressures other than just around 10 GPa the  $\text{CaSi}_3$  structures are unstable relative to decomposition to  $\text{CaSi}_2$  and  $\text{Si}$ , and above  $\sim 250$  GPa  $\text{CaSi}_3$  is calculated to decompose into the elements, just as we found for the other Ca/Si phases studied. The SI shows and discusses the metastable  $\text{CaSi}_3$  phases.

Figure 12 shows the simplified version of the enthalpy relationships as the pressure is raised for  $\text{CaSi}_3$ ; the full diagram,

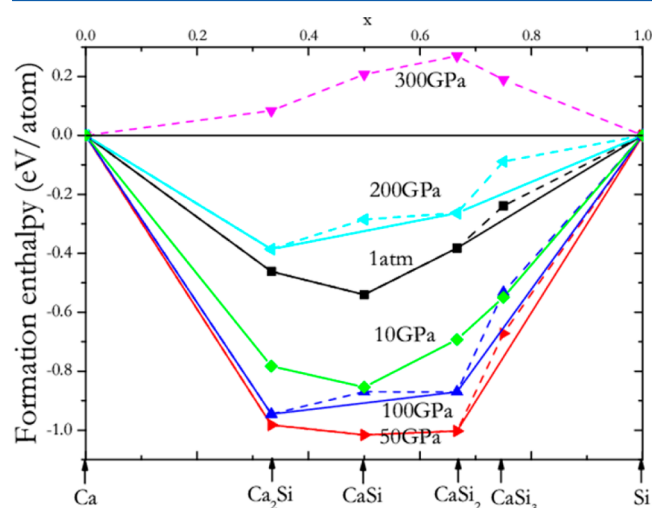


**Figure 12.** Ground-state static enthalpy (per formula unit) curves as a function of pressure for  $\text{CaSi}_3$ , with respect to that for the observed  $I4/mmm$  structure at 12–15 GPa and 900–1400 K. The decomposition enthalpies for  $\text{CaSi}_3$  to  $\text{CaSi}_2 + \text{Si}$  and  $\text{Ca} + \text{Si}$  are also presented. We considered  $I4/mcm$  for pure solid  $\text{Ca}$  and the most stable forms for  $\text{CaSi}_2$  and  $\text{Si}$  at the corresponding pressure. The inset shows the enthalpy (eV) vs pressure (GPa) in the low-pressure regime, so as to indicate more clearly the limited region of  $\text{CaSi}_3$  stability.

including metastables, is in SI. The important point here is that the synthesis of  $\text{CaSi}_3$  was not an accident. As our calculations show, synthesis requires access to a rather precisely circumscribed pressure range around 10 GPa.

**3.5. Formation Enthalpies.** To compare the relative stabilities of different stoichiometries for the  $\text{CaSi}_x$  series, we

examine the enthalpy tie-line graphs (Figure 13). The reference line is pure Ca and Si, each in its most stable form at the



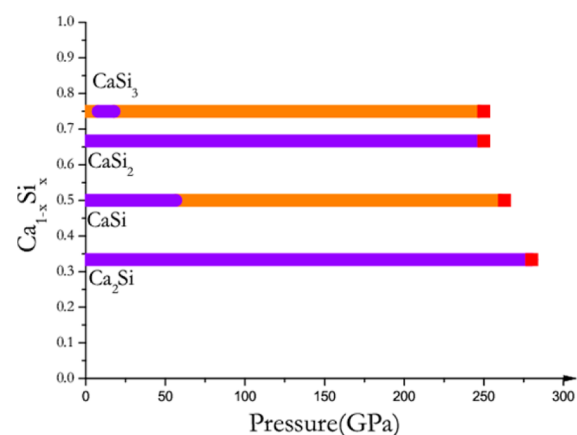
**Figure 13.** Ground-state and static enthalpy of formation per atom of the  $\text{Ca}_{(1-x)}\text{Si}_x$  phases with respect to their separated counterparts; calcium molar content ( $x = 0$  corresponds to pure calcium;  $x = 1$  to pure silicon) for the ground state and  $P = 1$  atm to 10, 50, 100, 200, and 300 GPa. The symbols on the solid line mark those calcium silicides that are stable at the corresponding pressure, while those on the dashed line refer to calcium silicides that are unstable with respect to disproportionation into other calcium silicides and calcium or silicon.

pressures specified. Note that, at 1 atm,  $\text{Ca}_2\text{Si}$ ,  $\text{CaSi}$ , and  $\text{CaSi}_2$  are all stable with respect to dissociation into the elements, while  $\text{CaSi}_3$  is calculated as metastable and is predicted to decompose into  $\text{CaSi}_2$  and Si, as the previous section detailed. As pressure increases, the formation enthalpy for  $\text{Ca}_2\text{Si}$ ,  $\text{CaSi}$ , and  $\text{CaSi}_2$  decreases; maximum stability is reached around 50 GPa. Above this pressure, the formation enthalpy for all the stoichiometries becomes less and less negative and finally changes to positive; i.e., the compounds become in our calculations unstable with respect to decomposition into the elements above  $\sim 250$  GPa. During this process,  $\text{CaSi}$  first becomes metastable above 100 GPa, unstable with respect to  $\text{Ca}_2\text{Si}$  and  $\text{CaSi}_2$ . As discussed above,  $\text{CaSi}_3$  is stable only in a narrow pressure range of 8–18 GPa.

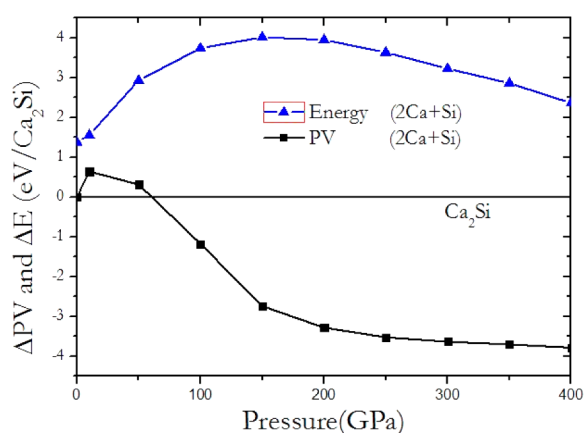
Figure 14 summarizes in another way the stability ranges for each stoichiometry studied. Note again the conclusion that all phases in this system are unstable relative to the elements *above* a pressure of  $\sim 250$  GPa. Let us next discuss and try to rationalize this phenomenon.

**3.6. Reasons for the Decomposition to the Elements at High Pressure.** In our computations, every Ca/Si phase becomes enthalpically unstable with respect to the elements at a pressure of around 250–280 GPa. This uniform behavior is not something we have encountered before in our previous studies of materials under pressure. However, it has been observed (theoretically) for some other systems, among them  $\text{CH}_4$ ,<sup>29</sup>  $\text{CaLi}_2$ ,<sup>30,31</sup>  $\text{ZnX}$ ,<sup>32</sup>  $\text{CdX}$ ,<sup>33</sup> and  $\text{HgX}$ <sup>32</sup> ( $X = \text{S, Se, or Te}$ ).

Let us focus on one system,  $\text{Ca}_2\text{Si}$ , whose enthalpy in various structures is shown in Figure 3. In Figure 15 we show the energy and PV contributions to the enthalpy difference of the elements relative to  $\text{Ca}_2\text{Si}$ . The elements and the compound are each chosen to be in their most stable form at the pressure indicated.



**Figure 14.** Ground-state static binary  $\text{Ca}_{(1-x)}\text{Si}_x$  phase stability ranges as a function of pressure. Purple lines denote stable pressure ranges. The red symbols indicate the initial pressure at which the compound becomes unstable with respect to the elements.



**Figure 15.** Ground-state energy and PV components of the enthalpy of the elements relative to  $\text{Ca}_2\text{Si}$ .

One notices immediately that while both energy and PV terms favor compound formation at relatively low pressures the  $\Delta\text{PV}$  term quickly grows negative and accordingly makes  $\Delta H$  negative overall at around 250 GPa. In other words, the specific volumes of Ca and Si decrease faster than that of their compound.<sup>34</sup>

Is the effect attributable to Ca or to Si? Figure 16 shows the compression ratios for the elements as a function of pressure. Clearly Ca is far more compressible than Si; the former element's compressibility is among the highest of the metallic elements, approaching that of Li.

The ease with which Ca is compressed has firm electronic origins. It has been known for some time that under moderate pressure Ca switches from being a mainly s-like metal to a transition-metal-like d metal.<sup>35–37</sup> Electrons in Ca bands that are mainly 3d-like are quite compressible, as there is no inner d-like core to which the electronic wave functions need be orthogonal.

In a recent study of high-pressure electrides<sup>38</sup> we found useful a simple model in which atoms are compressed in a helium lattice. Figure 17 shows the energies of Ca 4s, Ca 3d, and Si 3p orbitals in such a model compression chamber.

Aside from the aforementioned 4s to 3d switch for Ca under 25 GPa, we observe in Figure 17 that the energy of compressed Ca 3d electrons approaches that of Si 3p as the pressure

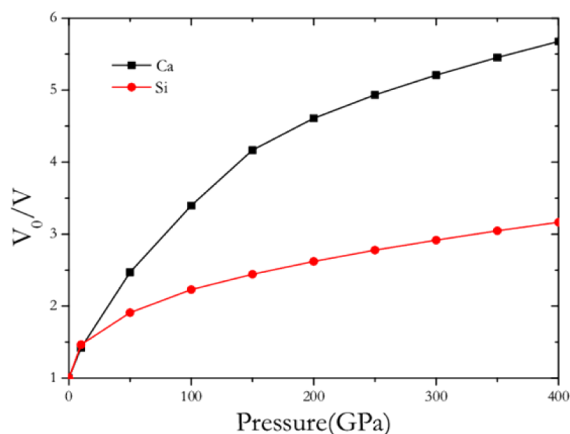


Figure 16. Compressibility,  $V_0/V$ , for Ca and Si.

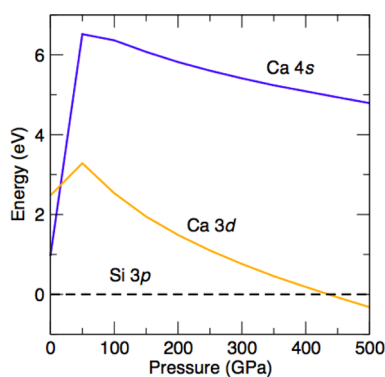


Figure 17. Energies of various Ca and Si atomic orbitals in a 107-atom helium compression model; Si 3p is the reference.

increases. We now see in this a suggestion of increased propensity for covalent Si–Ca bonding. Since the attractive interaction between Ca and Si in the stable stoichiometries that form is primarily ionic, the growth-of-covalence/decrease-of-ionicity with pressure should discriminate energetically against the compound. We confirm the decrease in ionicity with pressure by looking at the respective charges in a Quantum Theory of Atoms in Molecules (QTAIM) analysis<sup>39</sup> (see SI). Also in the SI is a demonstration of the increasing Ca–Si covalence by examination of the integrated Crystal Orbital Hamilton Populations (COHP) values<sup>40</sup> for the respective bonds.

The above considerations are supported by what Figure 15 shows—the internal energy of the elements begins to turn down (relative to the compound) with increasing pressure. The favoring of the elements arises in largest part from the  $\Delta PV$  term, but eventually  $\Delta E$  begins to contribute.

The details of our argument were given here for  $\text{Ca}_2\text{Si}$ . An examination of the other stoichiometries shows similar effects, with  $\Delta PV$  favoring the elements and producing eventually, as the pressure increases, a positive heat of formation.

**3.7. Dynamical Stability.** The calculated phonon spectra for metastable or stable phases of each stoichiometry within its enthalpy stability range show no imaginary frequencies, indicating that these structures are dynamically stable. The computational results are given in the SI. Moreover, we found that certain high-pressure phases, such as  $I4/mmm\text{-CaSi}$ ,  $Fd\text{-}3m$ ,  $P6_3/mmc\text{-CaSi}_2$ , and  $I4/mmm\text{-CaSi}_3$ , are also calculated to be dynamically stable at 1 atm. This points to a possible

synthesis of these phases, by pressurization and also a possible return to  $P = 1$  atm. Indeed as we mentioned,  $I4/mmm\text{-CaSi}_3$  has already been synthesized in just this way.

**3.8. Electronic Properties.** The calculated electronic density of states (DOS) per valence electron for static  $Pnma\text{-Ca}_2\text{Si}$ ,  $Cmcm\text{-CaSi}$ ,  $R\text{-}3m\text{-CaSi}_2$ , and  $R3m\text{-CaSi}_3$  at 1 atm is shown in the SI.  $\text{Ca}_2\text{Si}$  is a semiconductor, as expected from its structure and the Zintl picture—it contains weakly interacting  $\text{Si}^{4-}$  ions.  $\text{CaSi}$ , with its characteristic Si–Si bonded chains, might also be expected to have a gap, but clearly it is metallic. Through an analysis of experimental charge densities as well as theory, Kyrlyshyn et al.<sup>20</sup> showed that the chemical bonding in  $\text{CaSi}$  may be described from a Zintl starting point but needed to be supplemented by highly polar  $\text{Si} \rightarrow \text{Ca} \sigma$  donation and somewhat weaker  $\text{Si} \rightarrow \text{Ca} \pi$  donation components. The partial covalent character of the Ca–Si bonds appears to be the physical origin of the remarkable metallicity of  $\text{CaSi}$ . Both  $\text{CaSi}_2$  and  $\text{CaSi}_3$  are metallic at 1 atm.

When we compress them to 50 GPa,  $P6/mmm\text{-Ca}_2\text{Si}$ ,  $P6/mmm\text{-CaSi}$ ,  $Fd\text{-}3m\text{-CaSi}_2$ , and  $P\text{-}4m2\text{-CaSi}_3$ , each stoichiometry in its most stable structure (see previous sections), are all calculated to be metals (Figure 18). An analysis of the

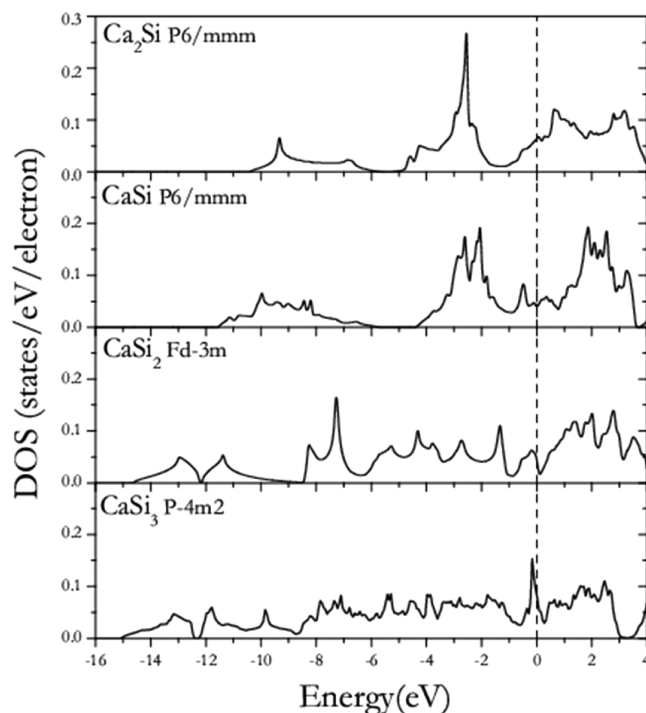


Figure 18. Density of states (DOS) per valence electron of  $\text{Ca}_2\text{Si}$ ,  $\text{CaSi}$ ,  $\text{CaSi}_2$ , and  $\text{CaSi}_3$  at 50 GPa. The vertical dashed line locates the Fermi energy. It is clear that all of these calcium silicides are metallic.

contributions of various atomic orbitals to the total electronic DOS indicates that the electronic DOS at the Fermi level has important contributions both from the Ca 3d and Si 3p orbitals.

Considering that  $\text{CaSi}_2$  at low pressure is a superconductor with  $T_c$  up to 14 K below 20 GPa and that the calcium silicides studied are all metallic under high pressure, we did explore in some detail the superconductivity of  $\text{CaSi}$  and  $\text{CaSi}_2$  over a range of pressure. The details are provided in the SI. There is reasonable agreement with experiment where it is known and with preceding calculations, but the estimated  $T_c$  of all phases is never high.

## 4. CONCLUSIONS

We have explored systematically the ground-state phases of selected calcium silicides under pressure. Our computations confirm that  $\text{Ca}_2\text{Si}$ ,  $\text{CaSi}$ , and  $\text{CaSi}_2$  are the stable stoichiometries at 1 atm, while  $\text{CaSi}_3$  is metastable. Under pressure,  $\text{Ca}_2\text{Si}$ ,  $\text{CaSi}$ , and  $\text{CaSi}_2$  are further stabilized up to about 50 GPa, while  $\text{CaSi}_3$  reaches stability only in a very narrow pressure range of 8–18 GPa. This is in agreement with the way  $\text{CaSi}_3$  was synthesized. At 1 atm, Si atoms exist as isolated  $\text{Si}^{4-}$  ions in  $\text{Ca}_2\text{Si}$ , form chains in  $\text{CaSi}$ , puckered six-membered rings in  $\text{CaSi}_2$ , and  $\text{Si}_2$  pairs in metastable  $\text{CaSi}_3$ . Under pressure, we found many novel and interesting Si networks—chains in  $\text{Ca}_2\text{Si}$ , flat four-membered rings and channels in  $\text{CaSi}$ , and Laves phases in  $\text{CaSi}_2$ . All the phases studied in this system become unstable relative to the elements above a pressure of  $\sim 250$  GPa. We traced this unusual phenomenon to the special compressibility of Ca as a consequence of an s to d electron switch and to backward charge transfer from Si to Ca.

All of the calcium silicides studied are dynamically stable, and also metallic, except that  $\text{Ca}_2\text{Si}$  is a semiconductor at 1 atm.

## ■ ASSOCIATED CONTENT

### Supporting Information

Computational methods, other predicted structures for certain stoichiometries, phonon spectra, total density of states, electron–phonon coupling, and structural information. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Author Contributions

All authors have given approval to the final version of the manuscript.

### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

We thank Accelrys Inc. for making available to us their Materials Studio software which we have used in our computations. G.G., R.H., and N.W.A. acknowledge support by the National Science Foundation, through research grant CHE-1305872 and DMR-0907425, and also EFree, an Energy Frontier Research Center funded by the U.S. Department of Energy (Award No. DESC0001057 at Cornell). Computational resources provided in part by Efree and the XSEDE network (provided by the National Center for Supercomputer Applications through grant TG-DMR060055N). We are also grateful to a reviewer for very useful suggestions.

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